

JC879  
08/01  
TO/TE/80

09-04-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	36696/153236
First Inventor	Thomas Kircher
Title	Method for Producing Local Aluminide Coating
Express Mail Label No.	EL 875054534 US

JC979 U.S. PTO  
09/94/2001  
06/31/01Please type a plus sign (+) inside this box → 

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 22]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]
5. Oath or Declaration [ Total Pages 3 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: /

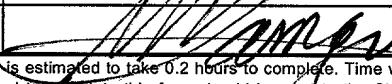
Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer Number or attach Bar Code Label here)		or	<input type="checkbox"/> Correspondence address below
Name	23973			
Address	PATENT TRADEMARK OFFICE			
City	State		Zip Code	
Country	Telephone		Fax	

Name (Print/Type)	Gregory J. Levorogna	Registration No. (Attorney/Agent)	30,469
Signature			Date 31 Aug 2001

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10/15/80  
JCS 979 U.S. PTO

PTO/SB/17 (11-00)

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$710.00

## Complete if Known

Application Number	Not yet assigned
Filing Date	August 31, 2001
First Named Inventor	Thomas Kircher
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	36696/153236

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50073**Deposit Account Name **Drinker Biddle & Reath LLP** Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status See 37 CFR § 1.27

2.  Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
101	710	201	355 Utility filing fee	<b>710.00</b>
106	320	206	160 Design filing fee	
107	490	207	245 Plant filing fee	
108	710	208	355 Reissue filing fee	
114	150	214	75 Provisional filing fee	
SUBTOTAL (1)			<b>\$710.00</b>	

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
9	-20** =	0	X <b>0.00</b>
3	-3** =	0	X <b>0.00</b>
			= <b>0.00</b>

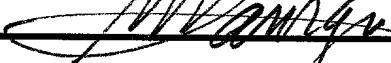
## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	
103	18	203	9 Claims in excess of 20
102	80	202	40 Independent claims in excess of 3
104	270	204	135 Multiple dependent claim, if not paid
109	80	209	40 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		<b>\$0.00</b>	

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
105	130	205	65 Surcharge - late filing fee or oath		
127	50	227	25 Surcharge - late provisional filing fee or cover sheet		
139	130	139	130 Non - English specification		
147	2,520	147	2,520 For filing a request for ex parte reexamination		
112	920*	112	920* Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		
115	110	215	55 Extension for reply within first month		
116	390	216	195 Extension for reply within second month		
117	890	217	445 Extension for reply within third month		
118	1,390	218	695 Extension for reply within fourth month		
128	1,890	228	945 Extension for reply within fifth month		
119	310	219	155 Notice of Appeal		
120	310	220	155 Filing a brief in support of an appeal		
121	270	221	135 Request for oral hearing		
138	1,510	138	1,510 Petition to institute a public use proceeding		
140	110	240	55 Petition to revive - unavoidable		
141	1,240	241	620 Petition to revive - unintentional		
142	1,240	242	620 Utility issue fee (or reissue)		
143	440	243	220 Design issue fee		
144	600	244	300 Plant issue fee		
122	130	122	130 Petitions to the Commissioner		
123	50	123	50 Processing fee under 37 CFR § 1.17(q)		
126	180	126	180 Submission of Information Disclosure Statement		
581	40	581	40 Recording each patent assignment per property (times number of properties)		
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))		
179	710	279	355 Request for Continued Examination (RCE)		
169	900	169	900 Request for expedited examination of a design application		
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	<b>\$0.00</b>

SUBMITTED BY Complete (if applicable)

Name (Print/Type)	Gregory J. Lavorgna, Esq.	Registration No. (Attorney/Agent)	30,469	Telephone	215 988 3309
Signature			Date	August 31, 2001	

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Thomas Kircher

Docket No.

36696/153236

Serial No.	Filing Date	Examiner	Group Art Unit
not yet assigned	not yet assigned	not yet assigned	not yet assigned

**Invention:**

Method for Producing Local Aluminide Coating

I hereby certify that the following correspondence:

Utility Patent Application, Application Data Sheet, Utility Patent Application Transmittal, Fee Transmittal, Declaration and Power of Attorney, Check, Information Disclosure Statement, 11 United States Patents, PTO/SB/08A, Return postcard.

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

8/31/01

*(Date)*

Loretta Smith

*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*

EL 875054534

*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**